# CORDING AND SS-90-III SLEEP STAGESCORING

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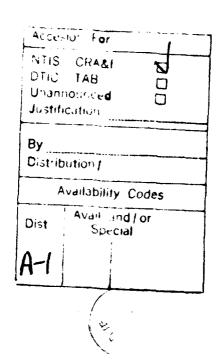


Test-Retest Reliability of Oxford Medilog 9000 Sleep Recording and SS-90-III Sleep Stage Scoring

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### SUMMARY

This study was undertaken to assess the test-retest reliability of the expanded Medilog SS-90-III Sleep Stager by comparing sleep stager scoring of the same records scored more than once. Subjects consisted of 19 normal sleepers who slept one night at home, following their normal routine. They ranged in age from 19.3 to 63.5, with mean = 31.1 and median = 24.7. There were 15 males and 4 females.

All sleep tapes were processed five consecutive times on the day of sleep scoring, termed runs 1-5. Dependent variables chosen for study consisted of: total sleep period, actual sleep time, wake after sleep onset (greater and less than 120 sec.), total movement time, total stage 1, total stage 2, total stage 3, total stage 4, total REM, percent of stage 1, percent of stage 2, percent of stage 3, percent of stage 4, percent of stage REM, start to sleep onset, sleep onset to REM, sleep onset to stage 2, sleep onset to stage 3, and sleep onset to stage 4.

Pearson correlations and alpha coefficients were calculated to evaluate the reliability of each measure over the five runs combined. Correlations between runs ranged between .878 and 1.00 for all measures, except sleep onset to REM through sleep onset to stage 4, where correlations ranged from -.003 to .991. Alpha coefficients ranged from .98 to 1.00 for all measures, including total sleep time, movement time, sleep onset, waking after sleep onset, and both absolute and percentage amounts of sleep stages 1-4 and REM, but not including the latency measures. Alpha coefficients for the latency measures were less reliable, although acceptable for REM latency, marginally so for stage 2 and 3 latencies, but not acceptable for stage 4 latency.

### INTRODUCTION

Important technical advances in portable sleep recording and automated EEG sleep stage scoring methods have been introduced in recent years. These improved methods offer several economies for both subjects and investigators by virtue of the fact that sleep data are recorded on portable cassette tape The recording electrodes can be applied almost any time day EEG recorders. or night at the technologist's convenience, and the portability of the equipment means that subjects can choose to sleep at home, or in other, more familiar, environments. Further, the cassette tape can be scored in as little as 30-60 minutes and stored indefinitely as needed at minimal cost and Thus, this improved procedure has important advantages for (1) space. improved subject acceptance leading potentially to better sleep, (2) a more flexible workload for the technologist, and (3) quicker, yet reliable scoring of sleep records.

Evaluative reports in the literature have generally confirmed these advantages. Sewitch and Kupfer (1,2) have reported a project in which they compared the Oxford Medilog 9000 and Telediagnostic systems with laboratory recordings. They concluded that there were no differences in standard EEG sleep parameters recorded in either the home or laboratory. Hoelscher et al. (3) studied the usefulness of the Oxford Medilog system in evaluating a variety of sleep/wake disorders in a large clinical population and concluded that "the Oxford-Medilog 9000 can be used to evaluate a variety of sleep-related disorders, results in acceptable recordings in 90-97% of all studies, and is well-accepted by most patients" (p.607). However, both Sewitch and Kupfer and Hoelscher et al. noted difficulties in some cases, as well as remaining questions in scoring details.

More recently, Crawford (4) and Holler and Riemer (5) both compared visually-scored versus Medilog Sleep Stager-scored sleep records, in an effort to assess the accuracy and reliability of the automated method. Crawford found a range of 74 to 89% agreement (mean = 84% epoch by epoch) between manual and automated sleep scoring of 20 recordings. However, Holler and Riemer found consistent differences in (1) sleep onset time and (2) REM time, but not any other sleep measures, between manual and automated methods when applied to their sample of four sleep records. Neither Crawford nor Holler and Riemer compared automated sleep stager scoring of the same records scored more than once, i.e., correlation of the automated method with itself.

Further, while the reports of these investigators do indicate an acceptable level of reliability of automated sleep scoring by the Medilog Sleep Stager, it should be noted that the software supporting this system has been recently revised and expanded, thus requiring a revised and expanded evaluation of the scoring. The present study was therefore undertaken to evaluate the reliability of the expanded Medilog SS-90-III Sleep Stager by comparing sleep stager scoring of the same records scored more than once.

### **METHODS**

# Subjects

Subjects consisted of 21 healthy, normal sleepers recruited in the San Diego area. Four subject-night recordings were rejected because of electrode failure or inability of the subject to sleep more than four hours. Two of these were successfully rescheduled for a second night, and two were dropped from the study.

Therefore, the final N consisted of 19 subjects, ranging in age from 19.3 to 63.5 years, with mean = 31.1 and median = 24.7 years. There were 15 males and 4 females. Mean age of the females was not significantly different form the males (means of 32.7 and 30.9 years, respectively).

# **Procedures**

Electrode attachment for most subjects was scheduled between 1400-1600 at the sleep laboratory, although in a minority of cases this was done at the subject's work location. Subjects then slept at home, following their normal routine, and returned to the laboratory about 0600-0700 for removal of the electrodes. Several subjects were given a bottle of acetone to remove the electrodes by themselves at home in the morning.

The standard sleep-monitoring montage consisted of the following: one channel of EEG (C4 to opposite mastoid), two channels of EOG (outer canthus to opposite mastoid), and one channel of EMG from the submental muscle of the chin. Clock time was used to define the beginning and end of the total sleep period (TSP).

Sleep scoring of all tapes was conducted 1-2 days after the recording night. All recommended procedures in the 1987 operator's manuals (Medilog 9000 Replay and Display System Operator's Instruction and Service Manual; Medilog SS-90-III Sleep Stager Operator's Manual) supplied by Oxford Medical Ltd. were followed closely. All tapes were processed on the Sleep Stager five consecutive times on the day of sleep scoring (i.e., five independent

scoring runs always conducted on the same day), hereafter referred to as runs 1-5.

The Sleep Stager provides a printout with a hypnogram (see sample in Crawford (4)), plus the following measures: total sleep period (TSP), actual sleep time (AST), wake after sleep onset (WASO) > 120 sec., wake after sleep onset (WASO) < 120 sec., total movement time (TMT), total stage 1 (TOT1), total stage 2 (TOT2), total stage 3 (TOT3), total stage 4 (TOT4), total REM (TOTR), start to sleep onset (SSO), sleep onset to first REM (SOR), sleep onset to stage 2 (SO2), sleep onset to stage 3 (SO3), sleep onset to stage 4 )SO4).

Of this list, all measures from AST to TOTR were provided in more than one unit of measurement or format, viz., by (1) number of epochs (30 secs.), (2) number of episodes (any occurrence lasting one epoch or more), (3) number of minutes, (4) percent of total sleep period, and (5) percent of actual sleep time (where appropriate). Thus, because of duplication, it was necessary to choose a smaller yet representative group of dependent variables for the present study. This group is listed in Table 1. All time measures are given in minutes. Latencies are calculated to the first four contiguous epochs (2 min.) of the stage. There is a partial duplication of measures only in that sleep stages 1 through REM are listed by both total time (TOT1-TOTR) and percent of actual time (PER1-PERR).

# **RESULTS**

Means, standard deviations, and minimum and maximum values for all measures and all runs are summarized in Table 1. The minimum values listed are the lowest of the five minima of five runs, and the maximum values are the highest of the five maxima of five runs.

# Pearson correlations between runs

Pearson correlation coefficients were calculated between consecutive sleep stage runs, which produced five correlation coefficients for each dependent variable. Runs were paired as follows: 1-2, 2-3, 3-4, 4-5, and 5-1. The range of these correlations between runs for each dependent variable is given in Table 1. It can be seen that the correlations between runs for the first 16 variables (TSP to SSO) were always between .878 and 1.00. Twenty-nine out of 32 correlations were ,90 or above, and the remaining three were above .87. For the four latency measures, SOR to SO4, the range of

Table 1: Summary of mean, standard deviation, minimum and aximum value, range of Pearson correlations between runs, and a pha reliability coefficient for each of the dependent measures, all runs (1-5) combined.

| MEASURE | MEAN          | STD DEV       | MIN   | MAX   | RANGE OF COI<br>BETW RUNS | S | ALPHA COEFF |
|---------|---------------|---------------|-------|-------|---------------------------|---|-------------|
| TSP     | 476.11        | 81.28         | 330.0 | 653.5 | .998-1.00                 |   | 1.000       |
| AST     | 400.44        | 71.32         | 265.0 | 542.0 | .972999                   |   | .998        |
| WASOG   | 89.83         | 71.89         | 0.0   | 265.0 | .945-994                  |   | . 994       |
| WASOL   | <b>58.</b> 75 | 29.85         | 5.0   | 132.0 | .899987                   |   | .989        |
| TMT     | 2.75          | 4.93          | 0.0   | 18.0  | .96299(                   |   | .996        |
| TOT1    | 119.90        | 119.74        | 2.0   | 422.0 | .977994                   |   | .997        |
| TOT2    | 261.46        | 132.40        | 0.0   | 460.0 | .878997                   |   | . 989       |
| TOT3    | 41.41         | 35.12         | 0.0   | 131.0 | .993997                   |   | .999        |
| TOT4    | 49.14         | 60.99         | 0.0   | 215.0 | .997-1.0(                 |   | 1.000       |
| TOTR    | 328.91        | 155.24        | 59.0  | 677.0 | .969998                   |   | .997        |
| PER1    | 15.49         | 15.48         | 0.2   | 57.8  | .976994                   |   | .997        |
| PER2    | 32.30         | 15.17         | 0.0   | 54.8  | .877997                   |   | . 988       |
| PER3    | 5.01          | 4.05          | 0.0   | 13.4  | .99099{                   |   | .999        |
| PER4    | 5.79          | 6.90          | 0.0   | 19.9  | .996999                   |   | 1.000       |
| PERR    | 41.39         | 17.86         | 6.4   | 82.9  | .970997                   |   | .997        |
| SS0     | 15.63         | 15.94         | 0.0   | 72.5  | .944994                   |   | .994        |
| SOR     | 56.64         | <b>58.</b> 57 | 0.0   | 432.5 | .77997(                   |   | .943        |
| S02     | 24.01         | 46.87         | 0.0   | 408.5 | .097824                   |   | .645        |
| S03     | 41.49         | 78.82         | 0.0   | 438.0 | .24383(                   |   | .761        |
| S04     | 16.13         | 36.63         | 0.0   | 497.0 | 003991                    |   | .246        |

NOTE: N = 19; r of .43 = p<.05; r of .55 = p<.01. A previations: TSP = total sleep period, AST = actual sleep time, WASO( = waso > 120 sec., WASOL = waso < 120 sec, TMT = total movement time TOT1 = total stage 1, TOT2 = total stage 2, TOT3 = total stage 3, TC 4 = total stage 4, TOTR = total REM, PER1 = % stage 1, PER2 = perc it sgate 2, PER3 = percent stage 3, PER4 = percent stage 4, PERR = per ent stage REM, SSO = start to sleep onset, SOR = sleep onset to REM, \$ 2 = sleep onset to stage 2, SO3 = sleep onset to stage 3, SO4 = sleep nset to stage 4.

Pearson correlations were from -.003 to .991, and all o the three minimum correlations listed between runs for these measures fail | to reach statistical significance at p<.05.

# Alpha reliability coefficients

Alpha coefficients, using a method described by Cronbach (6), were calculated in order to evaluate the reliability of each measure over the five runs combined, based on the ratio of the variance of each individual measure to the variance of the composite of the five runs. The resulting alpha coefficients are given in Table 1. It can be seen that the alpha coefficients for the first 126 measures, TSP to SSO, are consistently .98 to 1.00, indicating very high reliability. For the latency measures (SOR to SO4), however, the alpha coefficients decline from .94 to .24, respectively, the latter failing to reach statistical significance in the case of SO4. While the alpha coefficients for SO4, SO2, and SO3 were significant at p<.01, one might still question whether the correlations of .64 and .76 for SO2 and SO3, respectively, are acceptable reliabilities for an automated scoring procedure.

### DISCUSSION

The primary findings of this study may be summarized as follows: (1) Medilog SS-90-III Sleep Stager scoring of most sleep measures was highly reliable, with alpha coefficients ranging from .98 to 1.00 for measures including total sleep time, movement time, sleep onset, waking after sleep onset, and both absolute and percentage amounts of sleep stages 1-4 and REM; (2) scoring of latency measures was less reliable, although certainly acceptable for REM latency (SOR), and marginally acceptable for stage 2 and 3 latencies (SO2 and SO3), but not acceptable for an automated scoring of stage 4 latency (SO4).

While direct comparison with previous reports is difficult due to differences in procedures and methods of analysis, it would appear that our findings with the Medilog SS-90-III Sleep Stager represent a very considerable improvement over the results reported by both Crawford (4) and Holler and Riemer (5). Crawford found an average 74% agreement between manual and machine scoring across epochs for all measures, clearly suggesting a lower reliability, although she was not assessing retest reliability. Similarly, Holler and Riemer reported consistent error in measures of sleep onset and REM time, although neither of these variables appeared as problems in our data. While we did not compare manual and automated scoring provides a benchmark of retest reliability to which the manual method can only aspire.

Clear exceptions to this statement were the latency measures, SO2. SO3, and SO4 - especially the latter - where alpha coefficients were either

marginally or unacceptably low. Reasons for this difficulty are undoubtedly that the stager defined latencies as the first four contiguous epochs of a given stage. Thus, for example, in measuring stage 4 onset, the scoring sequence 3444434443 would count, whereas 3444334443 would not, even though the overall accuracy of scoring was consistently high. Whenever the sleep stager missed the onset of a stage in this manner, and the subject did not show that stage again at all or until much later, then the latency value was greatly increased. Clearly, this effect would be larger in the case of a sleep stage that occurred most frequently, as was true of stage 4 sleep. Of the total of 285 reports of latency to onset sleep stages 2, 3, and 4, such shifts were observed in 10 cases, or 3.5%. Of this 10, three involved stage 2, three involved stage 3, and four involved stage 4.

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| after sleep onset, and both absolute and percentage amounts of sleep stages 1-4 and REM; (2)   |  |   |                     |               |                            |  |  |  |
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| scoring of stage 4 latency.  |  |   |                     |               |                            |  |  |  |
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